



1754 #6  
1-22-03

ATTORNEY DOCKET NO.  
11321-P012USD3

PATENT  
SERIAL NO. 10/034,745

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Richard E. Smalley et al.

Group Art Unit: 1754

Serial No.: 10/034,745

Filed: December 28, 2001

Title: METHOD FOR FORMING AN ARRAY OF  
SINGLE-WALL CARBON NANOTUBES AND  
COMPOSITIONS THEREOF

CERTIFICATE OF MAILING

I hereby certify that this Supplemental Information Disclosure Statement with SB/08 A-B (Form 1449) and 2 references being deposited with the U.S. Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231, on January 6, 2003.

*Gracie Solis*  
GRACIE SOLIS

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

This Supplemental Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

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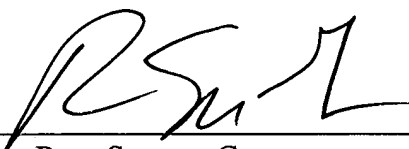
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A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith, except for the pending patent applications, for which no copies are being submitted.

Applicants believe that the mailing of a first Office Action on the merits has not occurred in this Application. Furthermore, each item of information contained in this Supplemental to Resubmitted Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental to Resubmitted Information Disclosure Statement. Accordingly, Applicants believe that no fee is due at this time. 37 C.F.R. § 1.97(b) and (e)(1). However, the Assistant Commissioner is hereby authorized to charge any fees to the Deposit Account No. 23-2426 (11321-P012USD3).

Respectfully submitted,

By: 

Ross Spencer Garsson  
Reg. No. 38,150

WINSTEAD SECHREST & MINICK P.C.  
P.O. Box 50784  
1201 Main Street  
Dallas, Texas 75250-0784  
Telephone: (512)370-2870  
Facsimile: (512)370-2850

ATTORNEYS FOR APPLICANTS

AUSTIN\_1\205454\1  
11321-P012USD3 01/06/2003